

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the patent application of )

Sanjeev Bagewadi )

Application No: 10/669,804 )

Filing Date: September 23, 2003 )

For: READ AHEAD TECHNIQUE FOR NETWORK  
BASED FILE SYSTEMS )

Docket No. SUNMP464

Group Art Unit: Unassigned

Examiner: Unassigned

Date: February 10, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on February 10, 2004.

Signed: \_\_\_\_\_

Sylvia Castillo

**Communication Regarding Statement Under 37 C.F.R. §3.73(b)-Establishing Right of Assignee to Take Action and Power of Attorney by Assignee (Revocation of Prior Powers)**

Commissioner for Patents  
Alexandria, VA 22313-1450

Sir:

Applicant hereby attaches a Combined Statement Under 37 C.F.R. §3.73(b)-Establishing Right of Assignee to Take Action and Power of Attorney by Assignee of Entire Interest and Revocation of Prior Powers, and Appendix A, with reference to the above-identified U.S. patent application. Applicant respectfully requests this document be made of record.

As stated in the Power of Attorney please send all correspondence to:

Martine & Penilla, LLP  
710 Lakeway Drive, #170  
Sunnyvale, CA 94085  
Customer No. 32291

Applicant believes that no fees are due in connection with this filing, however, if it is determined that any fees are due, the Commissioner is authorized to charge such fees to Deposit Account 50-0805 (SUNMP464). If the Commissioner has any questions concerning this document, please contact the undersigned at the telephone number set forth below.

Respectfully submitted,  
MARTINE & PENILLA, LLP

Albert S. Penilla, Esq.  
Reg. No. 39,487

710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
(408) 749-6900



# IN THE UNITED STATES PATENT & TRADEMARK OFFICE

## COMBINED STATEMENT UNDER 37 C.F.R. § 3.73(b)-ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION

AND

## POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST AND REVOCATION OF PRIOR POWERS

Commissioner for Patents  
Washington, D.C. 20231

Sir:

### Statement Under 37 CFR §3.73(b):

Sun Microsystems, Inc., a corporation organized under the laws of Delaware states that it is the assignee of entire right, title, and interest; in the patent(s) and/or patent application(s) listed on the attached APPENDIX A, by virtue of an Assignment from the inventor(s) of each of the patent(s) and/or patent application(s) listed on the attached APPENDIX A. Date of recordal, and Reel and Frame number of recordal by the United States Patent & Trademark Office identified on the attached APPENDIX A.

### Power of Attorney by Assignee of Entire Interest and Revocation of Prior Powers:

Pursuant to 37 C.F.R. §1.36, Sun Microsystems, Inc., the record owner (per Statement Under 37 CFR §3.73(b) above) of the patent(s) and/or patent application(s) listed on the attached APPENDIX A, revokes all previous powers of attorney in the patent(s) and/or patent application(s) listed on the attached APPENDIX A and hereby appoints the following attorneys and/or agents assigned to the below Customer Number to prosecute the patent(s) and/or patent application(s) listed on the attached APPENDIX A and transact all business in the U.S. Patent and Trademark Office connected therewith:

**Customer Number 32,291**

Please send all correspondence to:

MARTINE & PENILLA, LLP  
710 Lakeway Dr., Suite 170  
Sunnyvale, CA 94085  
Tel: (408) 749-6900  
Fax: (408) 749-6901  
**Customer Number 32,291**

The undersigned is empowered to sign on behalf of Sun Microsystems, Inc. in accordance with the attached Delegation of Authority (APPENDIX B)

The undersigned hereby declares that all statements made herein of the undersigned's own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the patents and patent applications listed on the attached APPENDIX A or any patents issuing thereon.



(Signature of authorized person)

12/3/02

(date)

Marilyn E. Glaubenslee, Esq.

(Type or print name of authorized person)

Managing Attorney (Reg. No. 35,521)

Title of authorized person *(Having delegated power to sign for Sun Microsystems, Inc. in accordance with APPENDIX B)*

## APPENDIX A

Application No. 10/669,804

Assignee: Sun Microsystems, Inc.

Title: **READ AHEAD TECHNIQUE FOR NETWORK  
BASED FILE SYSTEMS**

Recordation Date: Assignment filed with USPTO on September 23, 2003  
(Copy of Assignment Attached)

Reel/Frame: Not received from USPTO yet.

Atty Docket: SUNMP464

Attorney Docket No.: SUN-P9169**Assignment to Sun Microsystems, Inc.**

In consideration of good and valuable consideration, receipt of which is hereby acknowledged,  
I/we

Sanjeev Bagewadi

do hereby sell, assign, and transfer unto Sun Microsystems, Inc. (hereinafter called Sun), a Delaware Corporation having its principal place of business at 4150 Network Circle, Santa Clara, CA 95054, and its successors and assigns, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements, including the right of priority in, to, and under, the application for the United States patent entitled:

READ AHEAD TECHNIQUE FOR NETWORK BASED FILE SYSTEMS

X filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

Serial No.: \_\_\_\_\_ filed on \_\_\_\_\_ and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, I/we agree promptly upon request of Sun, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Sun, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by Sun.

I/we further covenant with Sun, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned;

In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature: \_\_\_\_\_

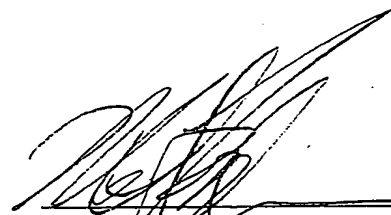
*Sanjeev Bagewadi*

Date: 23 Sept. 2003

## DELEGATION OF SIGNING AUTHORITY

I, Marc D. Foodman, Chief Patent Counsel, of Sun Microsystems Inc., (the "Company"), hereby appoint each of the following persons listed below as Attorney-in-Fact of the Company to execute and deliver in the name and on behalf of the Company, patent office documents, and to grant powers of attorney to patent attorneys and patent agents representing the Company in the patent offices and trademark offices throughout the world as required to facilitate filing, obtaining, renewing, maintaining, working, opposing and terminating patent applications and patent registrations on behalf of the Company, and to execute documents which effect the transfer of title or licenses of patents or trademark rights, so long as such transfers are between any of the Company and its subsidiaries. This Delegation of Signing Authority is effective as of May 19, 2003, and will continue in full force and effect while such persons are employees of the Company or until such time as I otherwise designate.

Andrew C. Chen	Reg. No. 43,544
Bernice B. Chen	Reg. No. 42,403
Arien C. Ferrell	Reg. No. 46,696
Marilyn E. Glaubensklee	Reg. No. 35,521
Elaine K. Lee	Reg. No. 41,936
Sean P. Lewis	Reg. No. 42,798
Jeffrey L. Meyers	Reg. No. 44,252
Pavel Pogodin	Reg. No. 48,205
Paul D. Sorkin	Reg. No. 39,039
Monica D. Ward	Reg. No. 40,696

  
\_\_\_\_\_  
Marc D. Foodman  
Chief Patent Counsel  
Sun Microsystems, Inc.